



Dumfries and Galloway
Integration Joint Board

14th July 2016

This Report relates to
Item 9 on the Agenda

Risk Management Strategy

Paper presented by Katy Lewis

For Approval

Approved for Submission by	Julie White, Chief Officer
Author	Maureen Stevenson – Patient Safety and Improvement Manager Jim McLatchie – Risk Co-ordinator Richard Fox - Finance Officer (Audit)
Background Papers	Integration Joint Board Risk Management Strategy
Appendices	

SECTION 1: REPORT CONTENT

Title/Subject:	Risk Management Strategy
Meeting:	Dumfries and Galloway Integration Joint Board
Date:	14 th July 2016
Submitted By:	Katy Lewis
Action:	For Approval

1. Introduction

- 1.1 NHS Dumfries and Galloway and Dumfries and Galloway Council have been working together to formulate a draft Risk Management Strategy for the newly formed Integration Joint Board (IJB). The draft document was compiled through consultation with key individuals to ensure that the document is applicable and can be understood by both organisations. As part of the ongoing consultation process an IJB risk workshop took place on Friday 10th June 2016, whereby the draft document was presented for discussion.

2. Executive Summary

- 2.1 Board Members are asked to consider the draft Risk Management Strategy. The strategy sets the overarching framework for how risk will be managed by the IJB and will be subject to an implementation process involving staff and managers across IJB.

The risk strategy has been developed in consultation with a range of stakeholders including the IJB, the IJB Audit and Risk Committee Chairman, General and Locality Managers and members of the Risk Steering Group.

3. Recommendations

- 3.1 The IJB is asked to approve the Risk Management Strategy and seek assurance that following approval, work will continue to ensure all staff understands their roles and responsibilities in relation to risk management.

4. Main Body Of The Report

- 4.1 The IJB Risk Management Strategy aims to embed good Risk Management practices across the Partnership, and to ensure the level of risk is managed within the IJBs risk appetite. Good risk

management will allow the IJB to be risk aware rather than risk averse, ensuring that decisions are based on a balanced appraisal of risk, enabling acceptance of certain risks in order to achieve the IJB's goals and objectives.

The IJB Risk Management Strategy provides guidance for all staff working under the direction of IJB.

It is recognised that there are areas which require further development and clarification.

These include:

- Agreement around a single risk management recording system. A single risk management system would be advantageous and the NHS Datix system would provide that platform, however a number of IT issues need to be addressed to enable this to become reality.
- The complaints process; a working group has been established to develop an approach

Next Steps

- Develop IJB Strategic Risk Register. Following on from the recent risk workshop work will progress with a further workshop scheduled to take place later in July with Health and Social Care Management Team.
- The development of a risk appetite should also be established to support the IJB in delivering its objectives, making business critical decisions and agreeing improvement and service development plans. A further workshop will be planned for later this year.

5. Conclusions

- 5.1 It is requested that the Risk Management Strategy be approved and for the members to seek assurance that an implementation plan will be presented and managed through the IJB Audit and Risk Committee.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

6. Resource Implications

- 6.1. This work is being undertaken by the authors detailed above, there will be no additional financial or resourcing implications.

7. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 7.1. The development of an IJB Risk Management Strategy and Strategic Risk Register are fundamental requirements.

8. Legal & Risk Implications

- 8.1. There are no legal and risk implications

9. Consultation

- 9.1. Chief Officer
Chief Finance Officer
Head of Adult Social Services
Locality Managers
Health and Social Care Management team
IJB Members

10. Equality and Human Rights Impact Assessment

- 10.1. Not required

11. Glossary

- 12.1 IJB - Integration Joint Board

12. Exempt reports

- 12.1. Not applicable