



Integration Joint Board
Audit and Risk Management Committee

21st June 2018

This Report relates to
Item 11 on the Agenda

IJB Draft Governance Statement and Assurance Processes

(Paper presented by Katy Lewis)

For Scrutiny and Review

Approved for Submission by	Katy Lewis, Chief Finance Officer
Author	Katy Lewis, Chief Finance Officer
List of Background Papers	None
Appendices	Appendix 1 – Draft Governance Statement

SECTION 1: REPORT CONTENT

Title/Subject: IJB Draft Governance Statement and Assurance Processes

Meeting: Audit and Risk Management Committee

Date: 21st June 2018

Submitted By: Katy Lewis, Chief Finance Officer

Action:

1. Introduction

- 1.1 This paper presents to the IJB Audit and Risk Committee the Draft Governance Statement and Assurance Processes for 2017/18.

2. Recommendations

- 2.1 **The Audit and Risk Management Committee is asked to:**

- **Review the IJB Audit and Risk Committee Draft Governance Statement and Assurance Processes for 2017/18.**

3. Background

- 3.1 The Chief Officer is required as part of the Annual Accounts to prepare a Governance Statement for the Integration Joint Board. This draft statement forms part of the annual accounts and is required to be signed off by the Chief Officer and Chair.

4. Main Body of the Report

- 4.1 The governance statement for 2017/18 is included as **Appendix 1** to this paper and is the draft which is included in the current draft of the accounts. It has been prepared in accordance with guidance on good governance and assurances taken from both the local authority and NHS code of governance arrangements which have been applied to the IJB.
- 4.2 During 2017/18, a review has been undertaken by the NHS Deputy Director of Finance who leads on Governance for the NHS to advise on the overall assurance requirements for the IJB. A file will be developed which includes all the relevant assurance information and will form part of the Chief Officer's consideration of the final draft of the governance statement.

4.3 It is envisaged that the assurance pack will include the following:

Assurances to the Chief Officer for preparation of the Governance Statement
Letter from IJB Finance Officer on financial matters
Letter from both partner organisation Chief Executives
Standing Committee Statements
Statement from Health and Social Care Senior Management Team
Statement from Integration Joint Board
Internal Audit Report
External Audit Report
Annual Risk Report

Assurances to the Finance Officer for signing the statement of responsibilities for the statements of account
Letter of assurance from D&G Council Head of Finance and Procurement
Letter of assurance from NHS Director of Finance

4.4 All statements will be signed by the relevant committee lead or officer.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

5. Resource Implications

5.1. The accounts set out the financial position for the IJB for 2017/18.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

6.1. The governance statement and financial assurances are an integral part of the best value and governance arrangements for the IJB.

7. Legal & Risk Implications

7.1. None identified.

8. Consultation

8.1. Consultation with Chief Officer, Deputy Director of Finance, External and Internal Auditors and other senior finance team members across NHS and Council.

9. Equality and Human Rights Impact Assessment

9.1. As this report does not propose a change in policy/strategy/plan/project, it is not necessary to complete an impact assessment.

10. Glossary

IJB Integration Joint Board