



Integration Joint Board
Audit and Risk Management Committee

21st June 2018

This Report relates to
Item 8 on the Agenda

Risk Update Report

Paper presented by Maureen Stevenson, Patient Safety & Improvement Manager

For Discussion/Noting

Approved for Submission by	Katy Lewis, Chief Finance Officer
Author	Maureen Stevenson, Patient Safety & Improvement Manager
List of Background Papers	Not applicable
Appendices	Appendix 1 – Risk Management Annual Report

SECTION 1: REPORT CONTENT

Title/Subject: Risk Update Report

Meeting: Audit and Risk Management Committee

Date: 21st June 2018

Submitted By: Maureen Stevenson, Patient Safety & Improvement Manager

Action: For Discussion/Noting

1. Introduction

- 1.1 This Report provides an update on risk management activity during 2017/18 and, through the NHS Dumfries & Galloway Risk Management Annual Report, provides assurances that NHS partners are continuing to progress and strengthen Risk Management arrangements.

2. Recommendations

2.1 **The Audit and Risk Management Committee is asked to:**

- **Note progress in implementing IJB Risk Management Strategy**
- **Note the NHS Dumfries Galloway Annual Report for 2017/18**

3. Background

- 3.1 The IJB Risk Management Strategy was approved by the Integration Joint Board (IJB) in July 2016.

- 3.2 Progress has been made in implementing the IJB Risk Management Strategy, which includes:

- Promoting awareness of risk and defining responsibility for managing risk within the IJB
- Sharing of risks between partners and Development of an IJB Risk Register
- Agreement to roll out Datix adverse event system to social services teams working on behalf of the partnership
- Establishment of IJB Audit & Risk Committee
- Implementation of Duty of Candour

- 3.3 The attached NHS Dumfries & Galloway Risk Management Annual Report for 2017/18;

- Summarises the key activities and achievements relating to risk management undertaken between 1st April 2017 and 31st March 2018
- Highlights the process in the ongoing development of our risk management arrangements
- Outlines the risk management objectives for the current year

4. Main Body of the Report

4.1 The NHS Dumfries and Galloway Risk Management Annual Report, although not an integrated report, provides assurances that the NHS partner organisation is actively managing risk and improving safety in line with the IJB Risk Management Strategy for a range of the delegated functions.

4.2 The Report aims to provide assurance and evidence to the NHS Board, Chief Executive and Audit & Risk Committee that a programme of work is in place to identify, assess and manage risk within NHS Dumfries & Galloway.

4.3 The Patient Safety & Improvement Team continues to work with managers across the partnership to clarify and enhance risk management governance arrangements to ensure risks are identified, shared, reviewed and managed effectively.

4.4 Governance arrangements across the IJB are currently being reviewed by the Chief Officer, this will include a review of Risk Management arrangements.

4.5 Health & Social Care Risk Register

4.6 The Health & Social Care Senior Management Team (HSCSMT) have had a further workshop to review and refine the Risk Register to reflect more of the strategic risks faced by the IJB. A small subset of the HSCSMT have agreed to work on this and bring it back to a future meeting.

4.7 Risk System Development

4.8 The STARS team now have full access to Datix and are actively using it to report and review incidents and risks. A plan to roll out access to all social work teams has been agreed but further testing has been delayed due to other strategic priorities. This will be progressed during 2018.

4.9 Duty of Candour Implementation

4.10 A full implementation plan has been agreed. The Patient Safety & Improvement Team, the Complaints Team and Chief Social Worker are working through the implementation plan to ensure staff, systems and processes are aligned and compliant with the Act.

4.11 An update report will be brought to subsequent meetings.

4.12 Conclusions

4.13 Progress is being made in implementing the IJB Risk Management Strategy, however, this has been slower than anticipated due to a range of issues including vacant posts; IM&T capacity to support system development and the volume of development ongoing across the system.

SECTION 2: COMPLIANCE WITH GOVERNANCE STANDARDS

5. Resource Implications

- 5.1. The NHS Dumfries & Galloway Risk Management Annual Report sets out risk management priorities for the year ahead. No additional resources have been identified to support IJB risk management activity.

6. Impact on Integration Joint Board Outcomes, Priorities and Policy

- 6.1. Managing risk and protecting the safety of our staff, patients and communities are core to delivering the IJB Strategic Vision and the 9 National Outcomes, in particular:
- People using health and social care services are free from harm.
 - People who work in health and social care services feel engaged with the work that they do and are supported to continuously improve the information, support, care and treatment they provide.
 - Resources are used effectively and efficiently in the provision of health and care services.

7. Legal & Risk Implications

- 7.1. None identified.

8. Consultation

- 8.1. A number of parties have contributed to the development of the NHS Dumfries & Galloway Risk Management Annual Report, including General Managers from the Health & Social Care Partnership which has been reviewed by the NHS Dumfries & Galloway Risk Executive Group.

9. Equality and Human Rights Impact Assessment

- 9.1. Impact Assessment not required.

10. Glossary

Datix	Board's Risk Management system
HSCSMT	Health & Social Care Senior Management Team
IJB	Integration Joint Board
IM&T	Information Management and Technology
STARS	Short Term Augmented Response Service